

Complaint Form

(Please print or type)
(Additional pages may be added)

City of Santa Fe
Ethics & Campaign Review Board

Date: 4/7/17

- Name of person and/or group charged with alleged violation. Smart Progress New Mexico and Loveless Johnson III
- Please explain briefly the nature of the alleged violation. Evidence to comply with City Ordinances regarding campaigning and elections as per Assistant City Atty Zachary Shandler
- Please state which specific provision(s) or part(s) of the Code of Ethics or Election Code you believe have been violated. Chapter IX Election and Political Campaign Codes: specifically with the regards Ch 9-2 and 9-3 of City Code
- Please state the facts you have regarding the violations, including:
 the date(s) 4/3/17 to present
 the time(s) all times
 the place(s) Santa Fe
 people involved Smart Progress New Mexico and Loveless Johnson III and others - Mr. Johnson was quoted in the New Mexican referring to comply with City Election codes
 other facts _____
- Are there any witnesses to the violation who are willing to confirm your charge? Please list with addresses, phone numbers and what they know. Daniel Chason, New Mexican
- Attach documentation of actual evidence you have to support your complaint, to this form.
- What is your name? Edward T. Stein
- Address? 3172 Plaza Blanca Zip Code 87507
- Telephone Number? 312 560 1986 Work: Retired
- Email Address? etstein@color.com@gmail.com

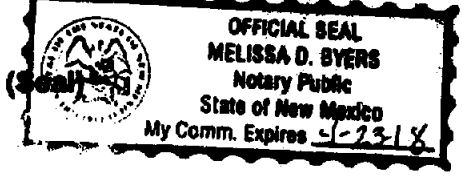
Received on: April 7 2017

By: Melissa Byers
City Clerk's Office

To the best of my knowledge, the above statements are true and complete.

[Signature]
Signature

Subscribed and sworn to before me this 7th day of April 2017



Melissa D. Byers
Notary Public

City of Santa Fe

INDEPENDENTLY SPONSORED CAMPAIGN REPORTING CAMPAIGN FINANCE STATEMENT SUMMARY CUMULATIVE TOTALS TO DATE CONTRIBUTIONS, EXPENDITURES, LOANS AND IN-KIND CONTRIBUTIONS

Name of Person or Entity Smart Progress New Mexico

Mailing Address 303 E. Buena Vista Street, #5 Santa Fe, NM 87505

Email Address smartprogressnm@gmail.com Phone No. 505-570-4499

REPORTING PERIOD 2/21/2017 TO 04/06/2017

CUMULATIVE TOTAL OF
IN-KIND CONTRIBUTIONS TO DATE (OTHER THAN MONEY) \$200.00

CUMULATIVE TOTALS TO DATE:	
CONTRIBUTIONS	<u>\$11,300.00</u>
LOAN(S)	<u>\$0.00</u>
INTEREST EARNED	<u>\$0.00</u>
GRAND TOTAL TO DATE	<u>\$11,300.00</u>

CUMULATIVE TOTALS TO DATE:	
EXPENDITURES	<u>\$9,509.52</u>
CASH ON HAND	<u>\$1,790.48</u>
GRAND TOTAL TO DATE	<u>\$11,300.00</u>

City of Santa Fe

INDEPENDENTLY SPONSORED CAMPAIGN REPORTING CAMPAIGN FINANCE STATEMENT SUMMARY CONTRIBUTIONS, EXPENDITURES, LOANS AND IN-KIND CONTRIBUTIONS (THIS REPORTING PERIOD)

Name of Person or Entity Smart Progress New Mexico

REPORTING PERIOD 2/21/2017 TO 04/06/2017

THIS REPORTING PERIOD

Total Individual Contributions	<u>\$300.00</u>
Total Business Contributions	<u>\$11,000.00</u>
Total In-Kind Contributions (Other than Money)	<u>\$200.00</u>
Total Loans	<u>\$0.00</u>
Total Interest Earned	<u>\$0.00</u>
Total Expenditures	<u>\$9,509.52</u>

City of Santa Fe

INDEPENDENTLY SPONSORED CAMPAIGN REPORTING CAMPAIGN FINANCE STATEMENT / LOANS

Name of Person or Entity Smart Progress New Mexico

Reporting Period 2/21/2017 TO 4/6/2017

Total of Loans this Reporting Period: \$0.00

Cumulative Total of Loans to Date: \$0.00

Cumulative Total of Loan(s) Remaining Unpaid to Date: \$0.00

Total of Interest Earned this Reporting Period: \$0.00

Cumulative Total of Interest Earned to Date: \$0.00

Lender (Name and Address)	Amount of Loan	Date of Loan	Interest Rate	Amount of Loan Yet Unpaid

Total \$0.00 Total \$0.00

If any of the above loans have been forgiven or paid by a third person, please indicate below:

Report separately below investments made with campaign funds and interest, dividends and/or other income earned (Include Date and Amount):

Date	Amount	Description

Total \$0.00

City of Santa Fe

INDEPENDENTLY SPONSORED CAMPAIGN REPORTING CAMPAIGN FINANCE STATEMENT / EXPENDITURES

Name of Person or Entity Smart Progress New Mexico

Reporting Period 2/21/2017 TO 4/6/2017

Total of Expenditures this Reporting Period: \$9,509.52

Cumulative Total of Expenditures to Date (Including this Report): \$9,509.52

Date Expended	Name of Individual or Business Mailing Address Purpose of Expenditure	Method of Payment	Amount	**See Form CC100	
				Yes	No
02/22/17	New Mexico Secretary of State	Check	\$50.00		
	Santa Fe, NM				
	Registration Fee				
02/23/17	Adelo Law Firm	Check	\$1,100.00		
	Santa Fe, NM				
	Legal Services				
02/25/17	High Desert Tees & Sports	Check	\$390.75		
	Santa Fe, NM				
	T-shirts				
02/26/17	Go Daddy Inc.	Debit Card	\$137.00		
	Phoenix, AZ				
	Website Domain & Hosting Services				
03/01/17	CTR Media	Check	\$1,500.00		
	Santa Fe, NM				
	Website & Digital Media Design Services				
03/01/17	High Desert Public Relations	Check	\$1,000.00		
	Santa Fe, NM				
	Issues & Policy Research				
03/01/17	CTR Media	Check	\$1,000.00		
	Santa Fe, NM				
	Field Coordination Services (canvassing)				
03/03/17	High Desert Tees & Sports	Check	\$411.25		
	Santa Fe, NM				
	T-shirts				
03/06/17	Facebook	Debit Card	\$250.00		
	Boston, MA				
	Advertising Services (post boosts)				
03/07/17	Tasha Curtis	Check	\$108.33		
	Santa Fe, NM				
	Voiceover Services				

(ATTACH ADDITIONAL PAGES AS REQUIRED)

Page Total \$9,947.33

City of Santa Fe

INDEPENDENTLY SPONSORED CAMPAIGN REPORTING CAMPAIGN FINANCE STATEMENT / EXPENDITURES

(Continued)

Date Expended	Name of Individual or Business Mailing Address Purpose of Expenditure	Method of Payment	Amount	**See Form CC100	
				Yes	No
03/07/17	Fast Signs of Santa Fe	Check	\$480.00		
	Santa Fe, NM				
	Signs				
03/08/17	Staples of Santa Fe	Check	\$336.23		
	Santa Fe, NM				
	Printing and Office Supplies				
03/29/17	Hutton Broadcasting	Check	\$1,083.25		
	Santa Fe, NM				
	Radio Advertisements				
03/31/17	Mindzai Printing	Debit Card	\$1,862.71		
	Atlanta, GA				
	Beverage Coaster Printing				

(ATTACH ADDITIONAL PAGES AS REQUIRED)

Page Total \$3,562.19

City of Santa Fe

INDEPENDENTLY SPONSORED CAMPAIGN REPORTING CAMPAIGN FINANCE STATEMENT CERTIFICATION

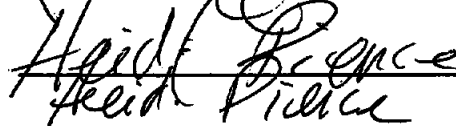
This Campaign Statement has been prepared with all reasonable diligence and is true and complete in accordance with §9-2 SFCC 1987.

President, CEO, or
Equivalent Position Signature

 (Director)

Date 4.10.17

Treasurer's/Deputy Treasurer's Signature

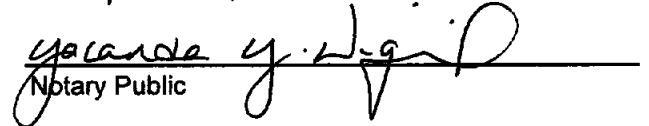

Heidi R. Pierce

Date 4-10-17
4-11-17

Treasurer's/Deputy Treasurer's Address

Heidi R. Pierce
303 E. Buena Vista St #5, SANTA FE
87505

Subscribed to and sworn before me this 10th day of April, 2017 by
Tate Cruz and on this 11th day of April, 2017 by Heidi R. Pierce :

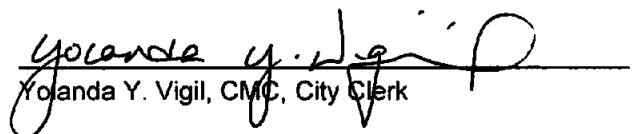

Yolanda Y. Vigil
Notary Public

My Commission Expires:

7-24-18

Received in the Office of the City Clerk at 4:58 (AM/PM) on the 10th day of April, 2017.

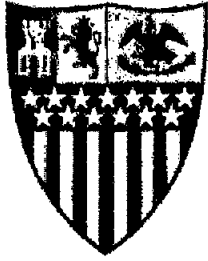
(SEAL)


Yolanda Y. Vigil, CMC, City Clerk

* A candidate or political committee that receives contributions from another entity that does not have to disclose its contributors to the City Clerk, shall indicate as such on the campaign finance statement submitted to the City Clerk pursuant to existing reporting requirements. (§9-2.12(B) SFCC 1987) (Form CC096A & CC096B)

** Pursuant to §9-2.6(A) the President, Chief Executive Officer or equivalent position shall certify on the filing that its expenditures were or were not made in cooperation, consultation or concert with, or at the request or suggestion of, a candidate, his/her representatives or agents or the candidate's political committee. This will be indicated on the Expenditure Form by checking either the 'Yes' or 'No' fields in Form 99A and 99B (Not applicable in ballot proposition election).

- The term "contribution" does not include: a volunteer's personal services provided without compensation or the travel or personal expenses of such a campaign worker. (§9-2.3(I)(2) SFCC 1987)
- The City Clerk is authorized to reject any incomplete campaign finance statements. (§9-2.10(E) SFCC 1987)
- The City Clerk shall assess a fine of one hundred dollars (\$100) for unexcused late filing of campaign finance statements. (§9-2.10(G) SFCC 1987)



City of Santa Fe, New Mexico

200 Lincoln Avenue, P.O. Box 909, Santa Fe, N.M. 87504-0909
www.santafenm.gov

Javier M. Gonzales, Mayor

Councilors:

Signe I. Lindell, Mayor Pro Tem, Dist. 1
Renee Villarreal, Dist. 1
Peter N. Ives, Dist. 2
Joseph M. Maestas, Dist. 2
Carmichael A. Dominguez, Dist. 3
Christopher M. Rivera, Dist. 3
Ronald S. Trujillo, Dist. 4
Mike Harris, Dist. 4

April 5, 2017

Loveless Johnson
Heidi Pierce
Smart Progress New Mexico
303 E. Buena Vista Avenue #5
Santa Fe, NM 87505

by letter and email smartprogressnm@gmail.com

Re: City Election Code Section 9-2

To Whom It May Concern:

In 2015, the City Council adopted changes to the City Campaign Code, Section 9-2.6 ("Independently Sponsored Campaign Communications and Reporting"). I have enclosed a copy for your review. Based on information in the newspaper, it appears your organization has spent more than \$250, on broadcast advertisements referring to a ballot proposition, which have reached more than 100 eligible voters. If so, your organization must file a campaign finance statement. The next reporting date is Friday, April 7, 2017. Please contact the City Clerk's office immediately to obtain the CD disk needed to file the campaign finance statement. If you disagree, please contact the City Clerk's office immediately **in writing** to explain why you believe your organization is exempt from Section 9-2.6.

Sincerely,

A handwritten signature in black ink, appearing to read 'Zachary Shandler'.

Zachary Shandler
Assistant City Attorney

Enclosure

Cc: Yolanda Vigil, City Clerk