AUI INC.
PO BOX 9825
ALBUQUERQUE, NM 87119-9825
NM CONTRACTOR'S LICENSE No. 20617
CLASS: GA98/GB98/GF98/GS29/MM01/MM04/MS03/MS06/ES07
NM RESIDENT CONTRACTOR No. L0991335216
NMDOL REGISTRATION No. 0189272011615

City of Santa Fe
Purchasing Office
200 Lincoln Avenue, Room 122
Santa Fe, NM 87505

Sealed Bid Enclosed:
Wastewater Aeration System
Improvement Project
BID NO. 20/17B
BID DATE: 04/08/2020 TIME: 3:00 PM
BID FORM INSTRUCTIONS
# 20/17/B

EXCEPTIONS TO SPECIFICATIONS
Bidder is required to return three (3) complete copies of these specifications, completely furnishing all information requested. All requested information, data, literature, drawings, etc. must be included with the bid submitted.

Bidder must check one of the following:

a. (X) All specifications, terms and conditions are met.
b. ( ) Exceptions have been taken and noted on attached sheet(s).

All variations and/or exceptions to the specifications must be documented, referencing applicable paragraph(s) and explained in detail. Attach as many pages as necessary. If no exceptions are taken, it will be assumed that the bid meets all specifications and terms and conditions as stated in this complete bid package. Failure to list exceptions may disqualify bid. Delivery of non-conforming goods is at the expense of the bidder and/or other penalties.

All other specifications not detailed herein shall be as listed in the manufacturer's printed literature for the current standard model. Manufacturer's printed literature and specifications sheets shall be submitted with the bid.

Exceptions will not necessarily eliminate the bid. City staff shall determine acceptance or non-acceptance of exceptions. Unless otherwise noted and approved, it is assumed that delivery of the unit shall be as stated.

Signed submission of this bid represents that the bidder has accepted all terms, conditions and requirements of the bid unless a written exception is made and, if awarded, the bid will represent the agreement between the parties. Additionally, by signing this bid, the bidder warrants that there was no collusion of any kind in submission of this bid.

WARRANTIES
Warranty required for material and workmanship for minimum of two year unless otherwise stated in the bid. Warranties shall begin when the City accepts satisfactory completion of project. The warranty contract shall be solely with the bidder and the bidder shall be responsible for ensuring all warranty work is satisfactorily completed on any component of the unit. All details of warranties shall be included with the bid.

State name, address and phone number of nearest authorized maintenance representative(s) (List on separate page, if needed):

    AUI Inc. (505) 242-4848
    7420 Reading Avenue SE, Albuquerque, NM 87105-7823
DELIVERY (this does not seem to apply to a construction contract)
Bids shall include all costs of delivery to the City of Santa Fe, the specific location to
be as designated by City staff. Unit shall be completely operational and ready for use.

Date of delivery after notice to proceed:

\[\text{481 Calendar Working Days}\]  *Revised per Addendum 2

Bidder SHALL INCLUDE descriptive material such as plans, drawings, photographs,
diagrams, illustrations, written descriptions and manufacturer's literature with
specifications with the bid. Additional information or details may be required after the bid
opening. Bids may be disqualified if such information is not adequate to make
a reasonably informed decision as to qualify, design, capabilities, etc.

Provide the firm's Experience Modification Rate (EMR) for each of the past
three years and your firm's OSHA ratings (Recordable Incidence Rates and
Lost Workday Incident Rates) for the past three years.

The City reserves the right to alter quantities based on availability of budget. If this
will alter the bid amount, the bidder must note the percent increase for lesser
quantities.
BIDDER INFORMATION:

AUI Inc.

Firm

PO Box 9825, Albuquerque, NM 87119-9825

Address

Authorized Signature

Darrin Howells

Print Name

President/CEO

Position

505-242-4848

Phone Number

505-242-9050

Fax Number

April 8, 2020

DATE

THREE (3) COMPLETE COPIES OF THE BID SUBMITTAL IS REQUIRED

BID SUBMITTAL:

NAME AUI Inc.

ADDRESS PO Box 9825, Albuquerque, NM 87119-9825

To the City of Santa Fe, State of New Mexico, and Owner:

The undersigned proposes to furnish and deliver all the material and labor, and to do all the work required in the construction of CIP#:957 – Wastewater Treatment Plant – Aeration System Improvements for the City of Santa Fe, in Santa Fe County, State of New Mexico, according to the plans and specifications therefore and at the prices named and shown on the Bid Form.

The undersigned declares that the only person or parties interested in the bid submittal as principals are those named herein; that the bid submittal is made without collusion with any person, firm or corporation; that he/she has carefully examined the specifications, including special provisions, if any, and that he/she has made a personal examination of the site of the work, that he/she is to furnish all the necessary machinery, tools, apparatus and other means of construction and do all the work and furnish all the materials specified in the manner and the time prescribed; that he/she understands that the quantities are approximate only and subject to increase or decrease, and that he/she is willing to perform any increased or decreased quantities of work at unit price bid.

The undersigned hereby agrees to execute and deliver the Construction Agreement and required bonds within ten (10) days, or such further time as may be allowed in
writing by the City of Santa Fe after receiving notification of the acceptance of this bid submittal, and it is hereby mutually understood and agreed that in case we do not, we forfeit the accompanying check or bid bond to the City of Santa Fe as liquidated damages, and the said City of Santa Fe may proceed to award the contract to others.

We hereby agree to commence the work within ten (10) days, or such further time as may be allowed in writing by the City of Santa Fe after notification to proceed, and to complete all the work within the time allowed by the construction agreement.

Substantial completion of the work shall mean complete and ready for acceptance and use of all work related to the drainage improvement described in the contract documents.

The undersigned proposes to furnish Labor and Material Payment Bond and Performance Bond in the amount of 100% of the Contract amount each as surety conditioned for the full complete and faithful performance of this contract, and to indemnify and save harmless the City of Santa Fe from any damage or loss of which the City of Santa Fe may become liable by the default of said Contractor, or by reason of any neglect or carelessness on the part of said Contractor, his/her agents or employees, or by or on account of any act or omission of said Contractor, his/her servants, agents or employees, in performance of this contract.

1. The undersigned proposes to guarantee all work performed under these Plans, Specifications, and Contract for two years from the final completion acceptance date. The undersigned will be notified prior to the termination of the two-year warranty period if any repairs or maintenance is needed and shall be completed before the warranty period ends.

2. The undersigned tenders herewith, as a bid guaranty, for which receipt has been given, a certified check or bid bond in the amount of ____________________________ Bid Bond in 5% of Bid Amount $ ____________ dollars $ ____________ drawn to the order of the City of Santa Fe.

Signature-Titl
Darrin Howells, President/CEO

AUI Inc.
Corporate Name

PO Box 9825, Albuquerque, NM 87119-9825
Address

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(Names of individual members of firms or names and titles of all officers of Corporation.)

Darrin Howells, President/CEO

Patrick Shaw, SVP Operations

Sam Baca, SVP Business Development

Corporation organized under the laws of the State of New Mexico

20617: GA98, GB98, GF98, GS29, MM01, MM04, MS03, MS06, ES07
N.M. Contractor's License No. & Type

NM Dept. of Workforce Solutions, Public Works Bureau
Labor Enforcement Fund Registration Number: 0189272011615

[A Subcontractor NM Dept. of Workforce Solutions, Public Works Bureau Enforcement Labor Fund Registration Number, on work over $60,000 must be listed on Subcontractor listing.]
CIP#:957 – Wastewater Treatment Plant – Aeration System Improvements

BID FORM

CITY OF SANTA FE
CONTRACTING AGENCY AND OWNER

FROM: ALI Inc.

PO Box 9825

hereinafter called “Bidder”.

TO: City of Santa Fe
200 Lincoln Avenue
P.O. Box 509
Santa Fe, New Mexico 87504

hereinafter called “CONTRACTING AGENCY”.

Bid For: CIP#:957 – Wastewater Treatment Plant – Aeration System Improvements

Bid No. ‘20/17/B

1. The bidders have familiarized themselves with the existing conditions on the project area affecting the cost of the work and with the contract documents which includes:

- Advertisement for Bids
- Instructions For Bidders
- Bid Submittal and other required bid forms as listed herein
- Agreement
- Contract time of 480 calendar days *Revised per Addendum 2
- Project Schedule and Milestones
- Form of Performance Bond
- Form of Labor and Material Payment Bond
- Technical Specifications
- Everything else included in the Project Manual and the Drawings.

Therefore, the Bidder hereby proposes to furnish all supervision, technical personnel, labor, materials, tools, appurtenances, equipment, and services (including all utility and transportation services) required to construct and complete the improvements, all in accordance with the above listed documents.

Bidder agrees to perform all of the improvements described in the specifications and shown on the plans for the following unit prices. Amounts are to be shown in both words and figures. In case of discrepancy, the amount shown in words shall govern.

All Addenda pertaining to this project, shall be acknowledged by the Bidder in the spaces provided below:

<table>
<thead>
<tr>
<th>Addendum No.</th>
<th>Addendum Date</th>
<th>Acknowledgement by Bidder or Authorized Representative</th>
<th>Date Acknowledged</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>February 26, 2020</td>
<td>[Signature]</td>
<td>February 26, 2020</td>
</tr>
<tr>
<td>2</td>
<td>March 11, 2020</td>
<td>[Signature]</td>
<td>March 11, 2020</td>
</tr>
</tbody>
</table>
CIP#957 – Wastewater Treatment Plant – Aeration System Improvements

Failure to acknowledge receipt, as provided above, may be considered sufficient grounds for disqualification of the bidder and rejection of his/her bid submittal.

Any and all such Addenda, if issued, will be published via electronic/digital format on the web site of the City of Santa Fe, not later than three days prior to the date fixed for the opening of the bids, at the following web address:

http://www.santafenn.gov/bids_rfps

It shall be the bidder’s responsibility to become fully advised of all Addenda prior to submitting his/her bid.

2. The Bidder agrees to commence work under this Contract within ten (10) days after a date to be specified in a written “Notice to Proceed” from the City of Santa Fe or its authorized agents, and fully complete the project within the time provided in the contract documents. Bidder further agrees to pay liquidated damages as provided in the Contract Documents.

3. Security in the sum of five (5) percent of the amount bid in the form of (check one):

   X       Bid Bond _______ Certified Check

   is attached hereto in accordance with the “Instructions for Bidders”.

4. This Bid Submittal contains the following:
   • Bid - Name the Bidder and the Number of Bidder's New Mexico Contractor's License with a check for proper signatures.
   • Non-Collusion Affidavit of Prime Bidder
   • Certification of Non-Segregated Facilities,
   • Certification of Bidder regarding Equal Employment Opportunity
   • Bid Bond
   • Acknowledgement of Addenda, if any.
   • Property executed Bid Form
   • Subcontractor's Listing (as applicable)
   • Provide the firm's Experience Modification Rate (EMR) for each of the past three years and your firm's OSHA ratings (Recordable Incidence Rates and Lost Workday Incident Rates) for the past three years

THREE (3) COPIES OF THE BID SUBMITTAL ARE REQUIRED

Respectfully submitted:

Name of Bidder: AUI Inc.

By: [Signature]

Title: Darrin Howells, President/CEO

Date: April 8, 2020

Official Address: PO Box 9825

Albuquerque, NM 87119-9825

Telephone No. 505-242-4848
**BID FORM**

**CIP #957 Paseo Real Wastewater Aeration Improvement Project**

Bidder will complete the work in accordance with the Contract Documents for the following prices (All amounts shown are lump sum, complete in place, excluding NMGRT):

* See Revised Bid Form Per Add #3

<table>
<thead>
<tr>
<th>ITEM No.</th>
<th>DESCRIPTION</th>
<th>QUANTITY</th>
<th>UNIT</th>
<th>PRICE (Excluding NMGRT)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>MOBILIZATION</td>
<td>1</td>
<td>LS</td>
<td>$</td>
</tr>
<tr>
<td>2</td>
<td>DEMOBILIZATION</td>
<td>1</td>
<td>LS</td>
<td>$</td>
</tr>
<tr>
<td>3</td>
<td>BLOWER BUILDING ROOF REPLACEMENT ALLOWANCE</td>
<td>1,800 ft²</td>
<td>$</td>
<td>$/ft²</td>
</tr>
<tr>
<td>4</td>
<td>AERATION BASINS CLEANING ALLOWANCE</td>
<td>365 yd³</td>
<td>$</td>
<td>$/yd³</td>
</tr>
<tr>
<td>5</td>
<td>UNKNOWN SUBSURFACE CONDITIONS ALLOWANCE</td>
<td>1</td>
<td>LS</td>
<td>$25,000</td>
</tr>
<tr>
<td>6</td>
<td>MATERIAL TESTING ALLOWANCE</td>
<td>1</td>
<td>LS</td>
<td>$20,000</td>
</tr>
<tr>
<td>7</td>
<td>SCUM PIPING CLEANING ALLOWANCE</td>
<td>350</td>
<td>$</td>
<td>$/lf</td>
</tr>
<tr>
<td>8</td>
<td>UTILITY RELOCATION ALLOWANCE</td>
<td>1</td>
<td>LS</td>
<td>$50,000</td>
</tr>
<tr>
<td>9</td>
<td>ELECTRICAL CABLE AND CONDUIT ALLOWANCE</td>
<td>1</td>
<td>LS</td>
<td>$70,000</td>
</tr>
<tr>
<td>10</td>
<td>LUMP SUM FOR BALANCE OF ALL WORK NOT INCLUDED IN ITEMS 1-9</td>
<td>1</td>
<td>LS</td>
<td>$</td>
</tr>
</tbody>
</table>

**BASE BID PLUS ALLOWANCES: BID ITEMS 1 – 10:** $

**New Mexico Gross Receipts Tax (NMGRT) @8.4375%** $

**TOTAL BASE BID AMOUNT PLUS ALLOWANCES AND NMGRT:**

**TOTAL BASE BID AMOUNT PLUS ALLOWANCES AND NMGRT (IN WORDS):**

THE SUM OF _________________________________

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# BID FORM

CIP #907 Paseo Real Wastewater Aeration Improvement Project

Bidder will complete the work in accordance with the Contract Documents for the following prices (All amounts shown are lump sum, complete in place, excluding NMGRT):

<table>
<thead>
<tr>
<th>ITEM No.</th>
<th>DESCRIPTION</th>
<th>QUANTITY</th>
<th>UNIT</th>
<th>PRICE (Excluding NMGRT)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>MOBILIZATION</td>
<td>1</td>
<td>LS</td>
<td>$ 679,080.00</td>
</tr>
<tr>
<td>2</td>
<td>DEMOBILIZATION</td>
<td>1</td>
<td>LS</td>
<td>$ 407,451.00</td>
</tr>
<tr>
<td>3</td>
<td>TURBO BLOWER BUILDING ROOF REPLACEMENT ALLOWANCE</td>
<td>1</td>
<td>LS</td>
<td>$ 80,000</td>
</tr>
<tr>
<td>4</td>
<td>AERATION BASINS CLEANING ALLOWANCE</td>
<td>365 yd³</td>
<td>$/yd³</td>
<td>$ 731.00 /yd³ $ 266,815.00</td>
</tr>
<tr>
<td>4a</td>
<td>DRYING BEDS SOLIDS DISPOSAL TO LOCAL FIELD ALLOWANCE</td>
<td>365 yd³</td>
<td>$/yd³</td>
<td>$ 254.00 /yd³ $ 92,710.00</td>
</tr>
<tr>
<td>4b</td>
<td>DRYING BEDS SOLIDS DISPOSAL TO LANDFILL ALLOWANCE</td>
<td>365 yd³</td>
<td>$/yd³</td>
<td>$ 54.00 /yd³ $ 19,710.00</td>
</tr>
<tr>
<td>5</td>
<td>UNKNOWN SUBSURFACE CONDITIONS ALLOWANCE</td>
<td>1</td>
<td>LS</td>
<td>$ 25,000</td>
</tr>
<tr>
<td>6</td>
<td>MATERIAL TESTING ALLOWANCE</td>
<td>1</td>
<td>LS</td>
<td>$ 20,000</td>
</tr>
<tr>
<td>7</td>
<td>UTILITY RELOCATION ALLOWANCE</td>
<td>1</td>
<td>LS</td>
<td>$ 50,000</td>
</tr>
<tr>
<td>8</td>
<td>ELECTRICAL CABLE AND CONDUIT ALLOWANCE</td>
<td>1</td>
<td>LS</td>
<td>$ 70,000</td>
</tr>
<tr>
<td>9</td>
<td>LUMP SUM FOR BALANCE OF ALL WORK NOT INCLUDED IN ITEMS 1-8</td>
<td>1</td>
<td>LS</td>
<td>$ 11,870,911.00</td>
</tr>
</tbody>
</table>

**BASE BID PLUS ALLOWANCES: BID ITEMS 1 – 9:** $ 13,581,677.00

New Mexico Gross Receipts Tax (NMGRT) @ 8.4375% $ 1,145,954.00

**TOTAL BASE BID AMOUNT PLUS ALLOWANCES AND NMGRT:** $ 14,727,631.00

**TOTAL BASE BID AMOUNT PLUS ALLOWANCES AND NMGRT (IN WORDS):**

THE SUM OF ________________ DOLLARS AND ____________ CENTS.
BID BOND

A. KNOW ALL MEN BY THESE PRESENTS, THAT WE

AUI Inc., hereinafter called the PRINCIPAL, as Principal, and the
Western Surety Company, of Chicago, Illinois, a
Corporation duly organized under the laws of the State of South Dakota, and authorized
to do business in the State of New Mexico, hereinafter called the SURETY, as SURETY are held and
firmly bound unto the City of Santa Fe, a Municipal Corporation, hereinafter called the OBLIGEE, in the
sum of

**Five Percent (5%) of Amount Bid**

dollars ($5,000) for the payment of which sum well and truly to be made, the said Principal and
the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and
severally, firmly by these presents.

WHEREAS, the Principal has submitted the accompanying bid, dated April 8, 2020
(Bid No. 20/17/B) for the construction of CIP#:957 – Wastewater Treatment Plant – Aeration System Improvements. Santa Fe, NM.

B. NOW, THEREFORE, if the Obligee shall accept the bid of the Principal and the Principal shall enter
into a Contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds
as may be specified in the bidding or Contract Documents with good and sufficient surety for the faithful
performance of such Contract and for the prompt payment of labor and material furnished in the
prosecution thereof or in the event of the failure of the Principal to enter such Contract and give such
bond or bonds, if the Principal shall pay to the Obligee the difference not to exceed the penalty heretofore
between the amount specified in said bid and such larger amount for which the Obligee may in good
faith contract with another party to perform the work covered by said bid, then this obligation shall be
null and void, otherwise to remain in full force and effect.


AUI Inc.  BIDDER

By: ____________________________

PRINCIPAL  Darrin Howells, President/CEO

Western Surety Company  SURETY

By: ____________________________

Title: Michael T. Byrd, Attorney-In-Fact

WITNESS

[Signatures]
Western Surety Company

POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That WESTERN SURETY COMPANY, a South Dakota corporation, is a duly organized and existing corporation having its principal office in the City of Sioux Falls, and State of South Dakota, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

David C Mitchie, Thomas M Padilla, Michael T Byrd, Susan D Martin, Individually

of Albuquerque, NM, its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

- In Unlimited amounts -

and to bind it thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the corporation and all the acts of said Attorney, pursuant to the authority hereby given, are hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the By-Law printed on the reverse hereof, duly adopted, as indicated, by the shareholders of the corporation.

In Witness Whereof, WESTERN SURETY COMPANY has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 14th day of January, 2019.

WESTERN SURETY COMPANY

Paul T. Bruflat, Vice President

STATE OF SOUTH DAKOTA
COUNTY OF MINnehaha

On this 14th day of January, 2019, before me personally came Paul T. Bruflat, to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is the Vice President of WESTERN SURETY COMPANY described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporation and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporation.

My commission expires

June 23, 2021

J. Mohr, Notary Public

CERTIFICATE

I, L. Nelson, Assistant Secretary of WESTERN SURETY COMPANY do hereby certify that the Power of Attorney hereinabove set forth is still in force, and further certify that the By-Law of the corporation printed on the reverse hereof is still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said corporation this 25th day of March, 2020.

WESTERN SURETY COMPANY

L. Nelson, Assistant Secretary

Go to www.misurety.com > Owner / Obligee Services > Validate Bond Coverage, if you want to verify bond authenticity.
Authorizing By-Law

ADOPTED BY THE SHAREHOLDERS OF WESTERN SURETY COMPANY

This Power of Attorney is made and executed pursuant to and by authority of the following By-Law duly adopted by the shareholders of the Company.

Section 7. All bonds, policies, undertakings, Powers of Attorney, or other obligations of the corporation shall be executed in the corporate name of the Company by the President, Secretary, and Assistant Secretary, Treasurer, or any Vice President, or by such other officers as the Board of Directors may authorize. The President, any Vice President, Secretary, any Assistant Secretary, or the Treasurer may appoint Attorneys in Fact or agents who shall have authority to issue bonds, policies, or undertakings in the name of the Company. The corporate seal is not necessary for the validity of any bonds, policies, undertakings, Powers of Attorney or other obligations of the corporation. The signature of any such officer and the corporate seal may be printed by facsimile.
CERTIFICATION OF BIDDER REGARDING EQUAL EMPLOYMENT OPPORTUNITY

INSTRUCTIONS

This certification is required pursuant to Executive Order 11246 (30 F. R. 12319-25). The implementing rules and regulations provide that any bidder or perspective contractor, or any of their proposed subcontractors, shall state as an initial part of the bid or negotiations of the contract or subcontract whether it has participated in any previous contract or subcontract subject to the equal opportunity clause; and, if so, whether it has filed all compliance reports due under applicable instructions.

Where the certification indicates that the bidder has not filed a compliance report due under applicable instructions, such bidder shall be required to submit a compliance report within seven calendar days after bid opening. No contract shall be awarded unless such report is submitted.

CERTIFICATION OF BIDDER

Bidder's Name: AUI Inc.

Address: PO Box 9825

Albuquerque, NM 87119-9825

1. Bidder has participated in a previous contract or subcontract subject to the Equal Opportunity Clause. Yes ☑ No __

2. Compliance reports were required to be filed in connection with such contractor subcontract. Yes ☑ No __

Certification - The information above is true and complete to the best of my knowledge and belief.

Darrin Howells, President/CEO

NAME AND TITLE OF SIGNER (please type or print)

SIGNATURE ________________________________ DATE ____________

April 8, 2020
CERTIFICATION OF NON-SEGREGATED FACILITIES

(Applicable to construction contracts and related subcontracts exceeding $10,000, which are not exempt from the Equal Opportunity Clause).

The construction contractor certifies that he/she does not maintain or provide for his/her employees any segregated facilities at any of his/her establishments, and that he/she does not permit his/her employees to perform their services at any location, under his/her control, where segregated facilities are maintained. The construction contractor certifies further that he/she will not maintain or provide for his/her employees any segregated facilities at any of his/her establishments, and that he/she will not permit his/her employees to perform their services at any location, under his/her control, where segregated facilities are maintained. The construction contractor agrees that a breach of this certification is a violation of the Equal Opportunity Clause in this contract. As used in this certification, the term "segregated facilities" means any waiting room, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clock, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreating or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive or are in fact segregated on the basis of race, creed, color, or national origin, because of habit, local custom, or otherwise. The construction contractor agrees that (except where he/she has obtained identical certifications from proposed subcontractors for specific time periods) he/she will obtain identical certifications from proposed SUBCONTRACTORS prior to the award of subcontracts exceeding $10,000 which are not exempt from the provisions of the Equal Opportunity Clause and that he/she will retain such certifications in his/her files.

SIGNED: 

TITLE: Darrin Howells, President/CEO

SUBSCRIBED AND SWORN to before me this 8 day of April, 2020.

My Commission Expires: April 6, 2023
NON-COLLUSION AFFIDAVIT OF PRIME BIDDER

STATE OF NEW MEXICO

COUNTY OF Bernalillo

Darrin Howells being first duly sworn, deposes and says that:

(1) He/she is the President/CEO of AUI Inc. the Bidder that has submitted the attached Bid Submittal;

(2) He/she is fully informed respecting the preparation and contents of the attached Bid Submittal and of all pertinent circumstances respecting such bid;

(3) Such bid is genuine and is not a collusive or sham bid;

(4) Neither the said Bidder nor any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, has in any way colluded, conspired, connived or agreed, directly or indirectly with any other Bidder, firm or person to submit a collusive or sham bid in connection with the Contract for which the attached bid has been submitted or to refrain from bidding in connection with such Contract, or has in any manner, directly or indirectly, sought by agreement or collusion or communications or conference with any other Bidder, or to secure through any collusion, conspiracy, connivance or unlawful agreement any advantage against the Contracting Agency or any person interested in the proposed Contract; and

(5) The price or prices quoted in the attached bid are fair and proper and are not tainted by any collusion, conspiracy, connivance or unlawful agreement on the part of the Bidder or any of its agents, representatives, owners, employees, or parties in interest, including this affiant.

(SIGNED) ____________________________
TITLE Darrin Howells, President/CEO

SUBSCRIBED AND SWORN to before me this 8th day of April 2020

My Commission Expires: April 6, 2023

NOTARY PUBLIC

Julie G. Rodrigo

OFFICIAL SEAL

My Commission Expires: April 6, 2023
NON-COLLUSION AFFIDAVIT OF SUBCONTRACTOR

STATE OF NEW MEXICO

COUNTY OF Bernalillo

Joaquin Romero being first duly sworn, deposes and says that:

(1) He/she is the operations manager of Atlas Pumping hereinafter referred to as the "Subcontractor";

(2) He/she is fully informed respecting the preparation and contents of the Subcontractor’s bid submitted by the Subcontractor to AUI Inc., the Contractor, for certain work in connection with the hydroexcavation contract pertaining to the Wastewater Treatment Plant Aeration System Improvements project in Santa Fe, NM;

(3) Such Subcontractors’ bid submittal is genuine and is not a collusive or sham bid submittal;

(4) Neither the Subcontractor nor any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, has in any way colluded, conspired, connived or agreed, directly or indirectly with any other Bidder, firm or person to submit a collusive or sham bid in connection with the Contract for which the attached bid has been submitted or to refrain from bidding in connection with such Contract, or has in any manner, directly or indirectly, sought by agreement or collusion or communications or conference with any other Bidder, or to secure through any collusion, conspiracy, connivance or unlawful agreement any advantage against the Contracting Agency or any person interested in the proposed Contract; and

(5) The price or prices quoted in the Subcontractor’s bid are fair and proper and are not tainted by any collusion, conspiracy, connivance, or unlawful agreement on the part of the Bidder or any of its agents, representatives, owners, employees, or parties in interest, including this affiant.

(SIGNED)  

TITLE Operations Manager

SUBSCRIBED AND SWORN to before me this 7th day of April, 2020

NOTARY PUBLIC

My Commission Expires:

OFFICIAL SEAL
MAUREEN JOHNSON
Notary Public - State of New Mexico
My Commission Expires 02/02/21

Page 35
NON-COLLUSION AFFIDAVIT OF SUBCONTRACTOR

STATE OF NEW MEXICO

COUNTY OF Bernalillo

Christina Wimberly being first duly sworn, deposes and says that:

(1) He/she is the Secretary of Albuquerque Insulation, hereinafter referred to as the "Subcontractor";

(2) He/she is fully informed respecting the preparation and contents of the Subcontractor's bid submitted by the Subcontractor to AUI Inc. the Contractor, for certain work in connection with the Mechanical Insulation contract pertaining to the Wastewater Treatment Plant Aeration System Improvements project in Santa Fe, NM;

(3) Such Subcontractor's bid submittal is genuine and is not a collusive or sham bid submittal;

(4) Neither the Subcontractor nor any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, has in any way colluded, conspired, connived or agreed, directly or indirectly with any other Bidder, firm or person to submit a collusive or sham bid in connection with the Contract for which the attached bid has been submitted or to refrain from bidding in connection with such Contract, or has in any manner, directly or indirectly, sought by agreement or collusion or communications or conference with any other Bidder, or to secure through any collusion, conspiracy, connivance or unlawful agreement any advantage against the Contracting Agency or any person interested in the proposed Contract; and

(5) The price or prices quoted in the Subcontractor's bid are fair and proper and are not tainted by any collusion, conspiracy, connivance, or unlawful agreement on the part of the Bidder or any of its agents, representatives, owners, employees, or parties in interest, including this affiant.

(SIGNED) [Signature]

TITLE Secretary

SUBSCRIBED AND SWORN to before me this 24 day of March, 2020

My Commission Expires: 10.17.23
NON-COLLUSION AFFIDAVIT OF SUBCONTRACTOR

STATE OF NEW MEXICO

COUNTY OF Bernalillo

__________________________
Randall Dupuy

being first duly sworn, deposes and says that:

(1) He/she is the Vice President of McDade-Woodcock, Inc., hereinafter referred to as the "Subcontractor";

(2) He/she is fully informed respecting the preparation and contents of the Subcontractor’s bid submitted by the Subcontractor to AUI Inc., the Contractor, for certain work in connection with the Electrical and I&C contract pertaining to the Wastewater Treatment Plant Aeration System Improvements project in Santa Fe, NM;

(3) Such Subcontractors’ bid submittal is genuine and is not a collusive or sham bid submittal;

(4) Neither the Subcontractor nor any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, has in any way colluded, conspired, connived or agreed, directly or indirectly with any other Bidder, firm or person to submit a collusive or sham bid in connection with the Contract for which the attached bid has been submitted or to refrain from bidding in connection with such Contract, or has in any manner, directly or indirectly, sought by agreement or collusion or communications or conference with any other Bidder, or to secure through any collusion, conspiracy, connivance or unlawful agreement any advantage against the Contracting Agency or any person interested in the proposed Contract; and

(5) The price or prices quoted in the Subcontractor’s bid are fair and proper and are not tainted by any collusion, conspiracy, connivance, or unlawful agreement on the part of the Bidder or any of its agents, representatives, owners, employees, or parties in interest, including this affiant.

(SIGNED) Randall Dupuy

TITLE Vice President

SUBSCRIBED AND SWORN to before me this 20 day of March, 2020

Notary Public

My Commission Expires:

2/28/2021

OFFICIAL SEAL

SHARON PEDRONCELLI

NOTARY PUBLIC-STATE OF NEW MEXICO

My Commission Expires 8/6/2021
NON-COLLUSION AFFIDAVIT OF SUBCONTRACTOR

STATE OF NEW MEXICO

COUNTY OF Bernalillo

Lauren Davis being first duly sworn, deposes and says that:

(1) He/she is the Vice President of RAM JACK OF NEW MEXICO hereinafter referred to as the "Subcontractor";

(2) He/she is fully informed respecting the preparation and contents of the Subcontractor's bid submitted by the Subcontractor to AUI Inc. the Contractor, for certain work in connection with the soil nails contract pertaining to the Wastewater Treatment Plant Aeration System Improvements project in Santa Fe, NM:

(3) Such Subcontractor's bid submitted is genuine and is not a collusive or sham bid submittal;

(4) Neither the Subcontractor nor any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, has in any way colluded, conspired, connived or agreed, directly or indirectly with any other Bidder, firm or person to submit a collusive or sham bid in connection with the Contract for which the attached bid has been submitted or to refrain from bidding in connection with such Contract, or has in any manner, directly or indirectly, sought by agreement or collusion or communications or conference with any other Bidder, or to secure through any collusion, conspiracy, connivance or unlawful agreement any advantage against the Contracting Agency or any person interested in the proposed Contract; and

(5) The price or prices quoted in the Subcontractor's bid are fair and proper and are not tainted by any collusion, conspiracy, connivance, or unlawful agreement on the part of the Bidder or any of its agents, representatives, owners, employees, or parties in interest, including this affiant.

(SIGNED) 

(TITLE)

SUBSCRIBED AND SWORN to before me this 25 day of March, 2020

(Notary Public)

My Commission Expires:

March 18, 2021

KARI D HIRBAR
NOTARY PUBLIC
STATE OF COLORADO
NOTARY ID 20084008470
MY COMMISSION EXPIRES MARCH 18, 2021
NON-COLLUSION AFFIDAVIT OF SUBCONTRACTOR

STATE OF NEW MEXICO

COUNTY OF ________________

Phil Chynoweth being first duly sworn, deposes and says that:

(1) He/she is the President of Bogan Brothers Painting, hereinafter referred to as the "Subcontractor";

(2) He/she is fully informed respecting the preparation and contents of the Subcontractor's bid submitted by the Subcontractor to AUI Inc., the Contractor, for certain work in connection with the Painting contract pertaining to the Wastewater Treatment Plant Aeration System Improvements project in Santa Fe, NM;

(3) Such Subcontractor's bid submitted is genuine and is not a collusive or sham bid submitted;

(4) Neither the Subcontractor nor any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, has in any way colluded, conspired, connived or agreed, directly or indirectly with any other Bidder, firm or person to submit a collusive or sham bid in connection with the Contract for which the attached bid has been submitted or to refrain from bidding in connection with such Contract, or has in any manner, directly or indirectly, sought by agreement or collusion or communications or conference with any other Bidder, or to secure through any collusion, conspiracy, connivance or unlawful agreement any advantage against the Contracting Agency or any person interested in the proposed Contract; and

(5) The price or prices quoted in the Subcontractor's bid are fair and proper and are not tainted by any collusion, conspiracy, connivance, or unlawful agreement on the part of the Bidder or any of its agents, representatives, owners, employees, or parties in interest, including this affiant.

(SIGNED) Phil Chynoweth
TITLE President

SUBSCRIBED AND SWORN to before me this __ day of ___, 20__

Bonnie Yesko
NOTARY PUBLIC

My Commission Expires: ___, 20__

Page 35
NON-COLLUSION AFFIDAVIT OF SUBCONTRACTOR

STATE OF NEW MEXICO

COUNTY OF ____________

Chip Martin ____________ being first duly sworn, deposes and says that:

(1) He/she is the CEO ____________ of Inspections Plus, LLC ____________, hereafter referred to as the “Subcontractor”;

(2) He/she is fully informed respecting the preparation and contents of the Subcontractor’s bid submitted by the Subcontractor to ____________ AUI Inc. ____________, the Contractor, for certain work in connection with the ____________ SWPPP ____________ contract pertaining to the Wastewater Treatment Plant Aeration System Improvements project in Santa Fe, NM ____________;

(3) Such Subcontractor’s bid submitted is genuine and is not a collusive or sham bid submitted;

(4) Neither the Subcontractor nor any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, has in any way colluded, conspired, connived or agreed, directly or indirectly with any other Bidder, firm or person to submit a collusive or sham bid in connection with the Contract for which the attached bid has been submitted or to refrain from bidding in connection with such Contract, or has in any manner, directly or indirectly, sought by agreement with collusion or communications or conference with any other Bidder, or to secure through any collusion, conspiracy, connivance or unlawful agreement any advantage against the Contracting Agency or any person interested in the proposed Contract; and

(5) The price or prices quoted in the Subcontractor’s bid are fair and proper and are not tainted by any collusion, conspiracy, connivance, or unlawful agreement on the part of the Bidder or any of its agents, representatives, owners, employees, or parties in interest, including this affiant.

(SIGNED) __________________________

TITLE CEO

SUBSCRIBED AND SWORN to before me this ____________ day of ____________, 2020

________________________

NOTARY PUBLIC

My Commission Expires: 10/15/2023

CASSANDRA DURKIN
Notary Public
State of New Mexico
My Commission Expires 7/31/2023
NON-COLLUSION AFFIDAVIT OF SUBCONTRACTOR

STATE OF NEW MEXICO

COUNTY OF Bernalillo

CHRISTIAN HAGGE being first duly sworn, deposes and says that:

(1) He/she is the "EMPLOYEE" of HASSE CONTRACTING,

hereinafter referred to as the "Subcontractor";

(2) He/she is fully informed respecting the preparation and contents of the Subcontractor's bid submitted by the Subcontractor to AUI Inc., the Contractor, for certain work in connection with the EPOXY INJECTION contract pertaining to the Wastewater Treatment Plant Aeration System Improvements project in Santa Fe, NM:

(3) Such Subcontractor's bid submitted is genuine and is not a collusive or sham bid submitted;

(4) Neither the Subcontractor nor any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, has in any way colluded, conspired, connived or agreed, directly or indirectly with any other Bidder, firm or person to submit a collusive or sham bid in connection with the Contract for which the attached bid has been submitted or to refrain from bidding in connection with such Contract, or has in any manner, directly or indirectly, sought by agreement or collusion or communications or conference with any other Bidder, or to secure through any collusion, conspiracy, connivance or unlawful agreement any advantage against the Contracting Agency or any person interested in the proposed Contract; and

(5) The price or prices quoted in the Subcontractor's bid are fair and proper and are not tainted by any collusion, conspiracy, connivance, or unlawful agreement on the part of the Bidder or any of its agents, representatives, owners, employees, or parties in interest, including this affiant.

(SIGNED)

TITLE: "EMPLOYEE"

SUBSCRIBED AND SWORN to before me this 9th day of April, 2020

My Commission Expires: April 1, 2008

NOTARY PUBLIC

OFFICIAL SEAL
Julie G. Rodriguez
STATE OF NEW MEXICO
My Commission Expires: 4/1/2008
SUBCONTRACTS

A. The Contractor shall not execute an agreement with any subcontractor or permit any subcontractor to perform any work included in this contract until he/she has submitted a Non-Collusion Affidavit from the subcontractor, in substantially the form shown above, and has received written approval of such subcontractor from the City of Santa Fe.

B. No proposed subcontractor shall be disapproved by the City of Santa Fe except for cause.

C. The Contractor shall be as fully responsible to the City of Santa Fe for the acts and omissions of his/her subcontractors and of persons either directly or indirectly employed by them, as he/she is for the acts and omissions of persons directly employed by him/her.

D. The Contractor shall cause appropriate provision to be inserted in all subcontracts relative to the work to require compliance by each subcontractor with the applicable provisions of the Contract for the improvements embraced.

E. Nothing contained in the Contract shall create any contractual relation between any subcontractor and the City of Santa Fe.
**SUBCONTRACTOR LISTING**

Note: A Contractor that submits a bid valued at more than sixty thousand dollars ($60,000) for a city project that is subject to the public works minimum wage act 13-4-10 NMSA 1978, shall be registered with the NM Dept. of Workforce Solutions, Public Works Bureau.

<table>
<thead>
<tr>
<th>Trade:</th>
<th>Name of Subcontractor:</th>
<th>License No.:</th>
<th>NM Dept. of Workforce Solutions Regist. No.:</th>
</tr>
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<tbody>
<tr>
<td>Hydroexcavation</td>
<td>Atlas Pumping Services</td>
<td>51797</td>
<td>02894520130507</td>
</tr>
</tbody>
</table>

**Address:**
200 Sin Nombre Court NE, Albuquerque, NM 87113

**Telephone No.:** 505-898-3936

**Signature of Subcontractor (to be obtained after award of contract):**

<table>
<thead>
<tr>
<th>Trade:</th>
<th>Name of Subcontractor:</th>
<th>License No.:</th>
<th>NM Dept. of Workforce Solutions Regist. No.:</th>
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<tbody>
<tr>
<td>Bypass Pumping</td>
<td>No Quotes Received</td>
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<td></td>
</tr>
</tbody>
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**Address:**

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<th>Name of Subcontractor:</th>
<th>License No.:</th>
<th>NM Dept. of Workforce Solutions Regist. No.:</th>
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<tr>
<td>Pipe Insulation</td>
<td>Albuquerque Insulation Company</td>
<td>90457</td>
<td>0051220050614</td>
</tr>
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</table>

**Address:**
PO Box 25871, Albuquerque, NM 87125

**Telephone No.:** 505-243-6398

**Signature of Subcontractor (to be obtained after award of contract):**

<table>
<thead>
<tr>
<th>Trade:</th>
<th>Name of Subcontractor:</th>
<th>License No.:</th>
<th>NM Dept. of Workforce Solutions Regist. No.:</th>
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<tbody>
<tr>
<td>Electrical &amp; Controls</td>
<td>McDade-Woodcock Inc.</td>
<td>17754</td>
<td>0188122011604</td>
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**Address:**
2404 Claremont Avenue NE, Albuquerque, NM 87107

**Telephone No.:** 505-884-0155

**Signature of Subcontractor (to be obtained after award of contract):**

<table>
<thead>
<tr>
<th>Trade:</th>
<th>Name of Subcontractor:</th>
<th>License No.:</th>
<th>NM Dept. of Workforce Solutions Regist. No.:</th>
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<tbody>
<tr>
<td>Soil Nails (One Quote Rec'd)</td>
<td>Ram Jack of New Mexico</td>
<td>353976</td>
<td>002389820120127</td>
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</tbody>
</table>

**Address:**
4950 Jefferson Street NE, Albuquerque, NM 87109

**Telephone No.:** 505-771-8890

**Signature of Subcontractor (to be obtained after award of contract):**
**SUBCONTRACTOR LISTING**

Note: A Contractor that Submits a bid valued at more than sixty thousand dollars ($60,000) for a city project that is subject to the public works minimum wage act 13-4-10 NMSA 1978, shall be registered with the NM Dept. of Workforce Solutions, Public Works Bureau.

<table>
<thead>
<tr>
<th>Trade:</th>
<th>Name of Subcontractor:</th>
<th>Address:</th>
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<tbody>
<tr>
<td>Coating/Painting</td>
<td>Bogan Brothers Painting Co.</td>
<td>3435 Vassar Drive NE, Albuquerque, NM 87107</td>
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<tr>
<td>Telephone No.:</td>
<td>505-898-8000</td>
<td>License No.: n/a</td>
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<td>Signature of Subcontractor (to be obtained after award of contract):</td>
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<td>NM Dept. of Workforce Solutions Regist. No.: 0100272009073</td>
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<tbody>
<tr>
<td>SWPPP</td>
<td>Inspections Plus</td>
<td>504 El Paso Road NE, Ste B, Albuquerque, NM 87113</td>
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<tr>
<td>Telephone No.:</td>
<td>505-344-9410</td>
<td>License No.: n/a</td>
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<tr>
<td>Signature of Subcontractor (to be obtained after award of contract):</td>
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<td>NM Dept. of Workforce Solutions Regist. No.: 23719322522019</td>
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<tr>
<td>Epoxy Injection</td>
<td>Hasse Contracting</td>
<td>3327 Tower Road, Albuquerque, NM 87121</td>
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<tr>
<td>Telephone No.:</td>
<td>505-242-9226</td>
<td>License No.: 12959</td>
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<td>Signature of Subcontractor (to be obtained after award of contract):</td>
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<td>NM Dept. of Workforce Solutions Regist. No.: 0012620050720</td>
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<tbody>
<tr>
<td>Sludge Cleaning</td>
<td>No Quotes Received</td>
<td></td>
</tr>
<tr>
<td>Telephone No.:</td>
<td></td>
<td>License No.:</td>
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<tr>
<td>Signature of Subcontractor (to be obtained after award of contract):</td>
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<td>NM Dept. of Workforce Solutions Regist. No.:</td>
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<th>Trade:</th>
<th>Name of Subcontractor:</th>
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<tbody>
<tr>
<td>Metal &amp; Grating Installation</td>
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</tr>
<tr>
<td>Telephone No.:</td>
<td></td>
<td>License No.:</td>
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<tr>
<td>Signature of Subcontractor (to be obtained after award of contract):</td>
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<td>NM Dept. of Workforce Solutions Regist. No.:</td>
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**SUBCONTRACTOR LISTING**

Note: A Contractor that Submits a bid valued at more than sixty thousand dollars ($60,000) for a city project that is subject to the public works minimum wage act 13-4-10 NMSA 1978, shall be registered with the NM Dept. of Workforce Solutions, Public Works Bureau.

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<tr>
<th>Trade</th>
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<td>Concrete Work</td>
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<tr>
<th>Trade</th>
<th>Name of Subcontractor:</th>
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<td>Shoring Installation</td>
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<td>Pipe Installation</td>
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<tr>
<td>Metal &amp; Grating Installation</td>
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Signature of Subcontractor (to be obtained after award of contract):
### SUBCONTRACTOR LISTING

**Note:** A Contractor that submits a bid valued at more than sixty thousand dollars ($60,000) for a city project that is subject to the public works minimum wage act 13-4-10 NMSA 1978, shall be registered with the NM Dept. of Workforce Solutions, Public Works Bureau.

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<td>Address:</td>
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<td>License No.:</td>
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<td></td>
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<td>Signature of Subcontractor (to be obtained after award of contract):</td>
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<th>Trade:</th>
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<tr>
<td>Paving</td>
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<tr>
<td>Address:</td>
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<tr>
<td>Telephone No.:</td>
<td>License No.:</td>
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<td>NM Dept. of Workforce Solutions Regist. No.:</td>
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<td>Signature of Subcontractor (to be obtained after award of contract):</td>
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<tbody>
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<td>Address:</td>
<td></td>
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<td>Telephone No.:</td>
<td>License No.:</td>
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<td></td>
<td>NM Dept. of Workforce Solutions Regist. No.:</td>
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<tr>
<td>Signature of Subcontractor (to be obtained after award of contract):</td>
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</tbody>
</table>
Provide Experience Modification Rate (EMR) for the past three years
OSHA ratings (Recordable Incidence Rates and Lost Workday Incident Rates) for the past three years

Workers' compensation Experience Modification Rate (EMR) for the last three years:
Year 2019  EMR 0.70
Year 2018  EMR 0.72
Year 2017  EMR 0.80

OSHA Recordable Incident Rate for the last three years:
Year 2019  ORIR 2.53
Year 2018  ORIR 2.58
Year 2017  ORIR 2.53

OSHA Lost Time Incident Rate for the last three years:
Year 2019  LTIR 0.42
Year 2018  LTIR 0.368
Year 2017  LTIR 0.632
AUTHORIZATION FOR CORPORATE OFFICERS AND DIRECTORS OF AUI INC.

The Board of Directors authorized the following Corporate Officers to transact business for the company and to enter into legal binding contracts. Authorization includes Albuquerque Underground, Inc. the company's registered and/or licensed name for the states of California, Florida, Georgia, Nebraska, Nevada, Oklahoma, Utah and Wyoming. This is effective for one year beginning February 28, 2019 or until changed by the Board of Directors.

President/CEO                      Darrin Howells
Sr. Vice President/COO            Patrick Shaw
Sr. Vice President/CDO            Sam Baca
Vice President                    Kari Biernacki
Vice President                    Steve Drennan
Secretary                         Michelle Lontok
Treasurer                         Michelle Lontok

STOCKHOLDERS

Darrin Howells
Sam Baca

DIRECTORS

Darrin Howells
Sam Baca
Kari Biernacki

Patrick Shaw
Steve Drennan
Michelle Lontok
Certificate of Contractor Registration

This is to certify that

AUI Inc

7420 READING AVE SE
ALBUQUERQUE, NM, 87105-7823

has registered with the Department of Workforce Solutions

Registration Date: 5/16/2016  Registration Number: 0189272011615

This certificate does not show the current status of the company.
To see the current status for this company please go to the Public Works
and Apprenticeship Application (PWAA) at
https://www.dws.state.nm.us/pwaa

New Mexico Department of Workforce Solutions, Labor Relations Division, Public Works, 121 Tijeras Ave NE, Suite 3000, Albuquerque, NM 87102, (505) 841-4400
STATE OF NEW MEXICO
TAXATION AND REVENUE DEPARTMENT

RESIDENT CONTRACTOR CERTIFICATE

Issued to: AUI, INC.
DBA: AUI, INC.
PO BOX 9825
ALBUQUERQUE, NM 87119-9825

Expires: 15-NOV-2020

Certificate Number: L099135216

John Montforte, Acting Cabinet Secretary

THIS CERTIFICATE IS NOT TRANSFERABLE