

(Item 3) External Audits - Schedule and Status

City of Santa Fe

2016	Audit	Lodger's Tax	Comments
Type of Audit		Contractor	Barraclough and Associates decided not to renew their contract so the City Finance department is in the process of creating an Request for Proposal. Based on discussions with Finance both Internal Audit and the Audit Committee will have an opportunity to give input as to the scope of the audit, and is selecting the auditor.
Auditor		Undetermined	
Due Date of Audit		Non Specified	
Date Field Work Started		<input type="text"/>	
Status of Audit Report		<input type="text" value="Not Issued"/>	

2016	Audit	CAFR	Comments
Type of Audit		Financial	The audit was submitted timely to the State Auditor's Office on December 15, 2016. As of December 29, 2016 the State Auditor Office is still showing this report as "In Review".
Auditor		Accounting and Consulting Group	
Due Date of Audit		12/15/2016	
Date Field Work Started		<input type="text" value="08/03/2016"/>	
Status of Audit Report		<input type="text" value="Not Issued"/>	

2016	Audit	Airport Annual Inspection	Comments
Type of Audit		Grantor	
Auditor		Federal Aviation Administration	
Due Date of Audit		N/A	
Date Field Work Started		<input type="text"/>	
Status of Audit Report		<input type="text" value="Not Issued"/>	

2016	Audit	Financial Management Oversi	Comments
Type of Audit		Grantor	05/05/2016 - Per Isaac Pino, the tri-annual review is scheduled for this September 2016. The department expects to get questions related to the review as early as July so that they can respond. 02/23/2016 - Review may not be required this year. Will verify, but transit director has left and position is currently unfilled. Annual review - date not set.
Auditor		FTA	
Due Date of Audit		TBD	
Date Field Work Started		<input type="text" value="09/15/2016"/>	
Status of Audit Report		<input type="text" value="Not Issued"/>	

2016	Audit	Shelter Plus	Comments
Type of Audit		Grantor	Annual review - date not set.
Auditor		HUD	
Due Date of Audit		TBD	
Date Field Work Started		<input type="text" value="TBD"/>	
Status of Audit Report		<input type="text" value="Not Issued"/>	

Santa Fe Solid Waste Management Agency (SWMA)

2016	Audit	SWMA	Comments
Type of Audit		Financial	
Auditor		Accounting and Consulting Group	
Due Date of Audit		12/01/2016	
Date Field Work Started		<input type="text"/>	
Status of Audit Report		<input type="text" value="Not Issued"/>	

Santa Fe Buckman Diversion Project (BDD)

2016	Audit	BDD - Operations	Comments
Type of Audit		Financial	
Auditor		Accounting and Consulting Group	
Due Date of Audit		09/30/2016	
Date Field Work Started		<input type="text"/>	
Status of Audit Report		<input type="text" value="Not Issued"/>	

Santa Fe Civic Housing Authority

2016	Audit	Civic Housing Authority	Comments
Type of Audit		Financial	Will update when audit is received. Do not track progress.
Auditor		Ricci & Company	
Due Date of Audit		12/01/2016	
Date Field Work Started		<input type="text"/>	
Status of Audit Report		<input type="text" value="Not Issued"/>	

Santa Fe Railyard Community Corporation and Subsidiary

2016	Audit	Santa Fe Railyard	Comments
Type of Audit		Financial	
Auditor		Barraclough & Associates	
Due Date of Audit		12/31/2016	
Date Field Work Started		<input type="text"/>	
Status of Audit Report		<input type="text" value="Not Issued"/>	