

Item 7_ Internal Audits Schedule and Status

Not Issued

City of Santa Fe

2015	Audit	Parking Follow Up Review	Comments
Type of Audit		Internal	08/05/15_PJ in Parking has left, so the remaining staff are working to clear the findings.
Auditor		Internal Audit	07/01/2015 Parking is putting evidence of cleared findings in folders on shared drive. We hope to have these cleared and a report issued by year end.
Due Date of Audit		TBD	
Date Field Work Started		05/15/2015	
2015	Audit	Parking Forensic Audit Follow Up	Comments
Type of Audit		Internal	08/05/2015_PJ in Parking has left. Remaining staff are working on clearing the findings.
Auditor		Internal Audit	07/01/2015_Parking is putting evidence of cleared findings in folders on shared drive. We hope to have these cleared and a report issued by year end.
Due Date of Audit		TBD	
Date Field Work Started		05/15/2015	
2016	Audit	Cash Reserves / Negative Balances	Comments
Type of Audit		Internal	Part of audit plan - dates and times not listed. Will try to fit this in as it is an important topic.
Auditor		Internal Audit	
Due Date of Audit		TBD	
Date Field Work Started		TBD	

2016	Audit	Duplicate Payments	Comments
Type of Audit		Internal	Part of audit plan 3rd and 4th quarter.
Auditor		Internal Audit	
Due Date of Audit		TBD	
Date Field Work Started		TBD	

2016	Audit	Family Medical Leave Act	Comments
Type of Audit		Internal	Part of audit plan 2nd and 3rd quarter.
Auditor		Internal Audit	
Due Date of Audit		TBD	
Date Field Work Started		TBD	

2016	Audit	Public Utilities - Phase One - Audit of	Comments
Type of Audit		Internal	01/26/2016 - This audit is in progress. Some results are still being compiled.
Auditor		Internal Audit	
Due Date of Audit		TBD	
Date Field Work Started		11/01/2015	

2016	Audit	Public Utilities - Phase Two Performa	Comments
Type of Audit		Internal	01/26/2016 - This audit is in progress. Most testwork has been completed. Still waiting on a query from ITT. Report has been started.
Auditor		Internal Audit	
Due Date of Audit		TBD	
Date Field Work Started		TBD	

2016	Audit	Vendor Security Audit	Comments
Type of Audit		Internal	Part of audit plan 1st and 2nd quarter.
Auditor		Internal Audit	
Due Date of Audit		TBD	
Date Field Work Started		TBD	