

City of Santa Fe, New Mexico

memo

DATE: March 3, 2015

TO: Finance Committee

THRU: Jon Bulthuis, Transit Division Director ~~JS~~

FROM: Ken Smithson, Director of Operations and Maintenance ~~KS~~

SUBJECT: Request for Approval of Application to Federal Transit Administration for FY 2015
Section 5307 Urbanized Area Apportionment to City of Santa Fe

ITEM

The Federal Transit Administration (FTA) has announced the partial urbanized area apportionment to the City of Santa Fe for Federal Fiscal Year 2015, in the amount of \$1,063,656. This is a partial (8/12) apportionment, as MAP-21, the current transportation legislation, has been authorized by continuing resolution only through May 31, 2015.

Section 5307 funds support the ongoing fixed route and paratransit operations for the City of Santa Fe, and are matched at 50 percent by the ¼-cent gross receipts tax dedicated to transit – although the City overmatches the grant funds several times over.

At such time that the remainder of the fiscal year is funded, or a new transportation bill is enacted, a budget amendment will be submitted to FTA for the balance of the FY 2015 apportionment.

ACTION REQUESTED

Recommend to the Governing Body to approve an application to the Federal Transit Administration for the partial FY 2015 Section 5307 urbanized area apportionment to the City of Santa Fe, in the amount of \$1,063,656, and to authorize staff to submit a budget amendment for the remainder of the FY 2015 apportionment when this is available.

Attachments:

Federal Register, February 9, 2015 (title page and page 7254)

FY 2015 Section 5307 Apportionment Table (abbreviated to highlight Santa Fe portion)

FTA Application (budget pending approval)

Budget Adjustment Request

Summary of Contracts, Agreements and Amendments



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Part V

Department of Transportation

Federal Transit Administration

FTA Fiscal Year (FY) 2015 Apportionments, Allocations, and Program Information; Notice

DEPARTMENT OF TRANSPORTATION

Federal Transit Administration

FTA Fiscal Year (FY) 2015
Apportionments, Allocations, and
Program Information

AGENCY: Federal Transit Administration
(FTA), DOT.

ACTION: Notice.

SUMMARY: On December 16, 2014, President Obama signed the Consolidated and Further Continuing Appropriations Act, 2015 (FY 2015 Appropriations) which provided \$11.008 billion in new budget authority including a full fiscal year's funding for the Federal Transit Administration's (FTA) programs funded from the General Fund of the Treasury, which funds its administrative expenses as well as its Research, Technical Assistance and Training programs, Capital Investment Grants program, and Grants to the Washington Metropolitan Area Transit Authority. The FY 2015 Appropriations Act follows several continuing resolutions that provided funds for these programs through December 15, 2014.

The Highway and Transportation Funding Act of 2014 extended FTA's contract (budget) authority to carry out its formula assistance programs only through May 31, 2015. The act pro-rated the amount of budget authority available for the period October 1, 2014 through May 31, 2015 based on an anticipated full FY 2015 total of \$8.595 billion. As a result, FTA may apportion only 8/12th or \$5.722 billion in contract authority at this time. When combined with the full-year funding from the General Funded programs listed above, FTA is apportioning or allocating in this notice a total of \$8.136 billion of the \$11.008 billion of new budget authority provided in the FY 2015 Appropriations. Congress will have to extend the authorization for public transportation beyond May 31, 2015, before additional contract authority can be provided for the formula assistance programs.

FTA annually publishes one or more notices apportioning funds appropriated by law. This notice apportions and provides information on the FY 2015 funding currently available for FTA assistance programs, provides program guidance and requirements, and information on several program issues important in the current year. This notice also provides information on FTA's discretionary programs and forthcoming program guidance.

FOR FURTHER INFORMATION CONTACT: For general information about this notice contact Jamie Pfister, Director, Office of Transit Programs, at (202) 366-2053. Please contact the appropriate FTA Regional Office for any specific requests for information or technical assistance. A list of FTA Regional Offices and contact information is available on the FTA Web site under the heading "Regional Offices" at <http://www.fta.dot.gov>. An FTA headquarters contact for each major program area is included in the discussion of that program in the text of the notice.

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I. Overview

On October 1, 2012, the Moving Ahead for Progress in the 21st Century Act (MAP-21) (Pub. L. 112-141) authorized the Federal Transit Administration's (FTA) public transportation assistance programs for FYs 2013-2014. A notice announcing changes and implementation instructions in FTA programs in accordance with MAP-21 was published in the *Federal Register* on October 16, 2012. (See 77 FR 63669). On August 8, 2014, Congress passed the Highway and Transportation Funding Act of 2014 (Pub. L. 113-159) which extended MAP-21 authorizations as well as contract authority to carry out FTA's formula programs through May 31, 2015. On December 16, 2014, the FY 2015 Appropriations Act (Pub. L. 113-235) was signed into law, providing a full fiscal year of funding for FTA's discretionary programs and its administrative expenses which are funded from the General Fund of the Treasury. Prior to December 16, 2014, Congress provided partial funding for FY 2015 through continuing resolutions (Pub. L. 113-164, Pub. L. 113-202, and Pub. L. 113-203). This notice apportions formula funds based on the Highway and Transportation Funding Act of 2014, which made 8/12th or \$5.722 billion of the anticipated fiscal year 2015 total of \$8.595 billion available through May 31, 2015. As a result, FTA may only apportion \$5.722 billion to carry out FTA's formula programs at this time. Should Congress pass legislation that provides additional contract authority to support the formula programs for FY 2015, FTA will

FEDERAL TRANSIT ADMINISTRATION
Table 3

FY 2015 SECTION 5307 AND SECTION 5340 URBANIZED AREA APPORTIONMENTS¹

The total available amount for a program is based on funding authorized under The Moving Ahead for Progress in the 21st Century Act (MAP-21), (Pub. L. 112-141, 2012) and appropriated pursuant to the FY 2015 Appropriations Act (Pub. L. 113-235)

Note: In accordance with MAP-21, this table shows the amounts attributable to each State of a Multi-State Urbanized Area over 200,000 in population. These amounts are for illustrative purposes only. Designated recipients shall continue to sub-allocate funds allocated to an urbanized area based on a locally determined process, consistent with Section 5307 statutory requirements. Each State's share of a multi-state urbanized area was calculated on the basis of the percentage of population attributable to the States in the UZA, as determined by the 2010 Census.

2/10/15 Update: This table has been re-posted to correct formatting errors for certain multi-state Urbanized Areas

URBANIZED AREA/STATE	APPORTIONMENT
1,000,000 or more in Population	\$2,247,021,319
200,000 - 999,999 in Population	\$605,470,162
50,000 - 199,999 in Population	\$359,046,309
National Total	\$3,211,537,790

Amounts Apportioned to State Governors for Urbanized Areas 50,000 to 199,999 in Population:

¹ Consistent with prior years, urbanized area apportionments for Section 5307 and Section 5340 are combined

New Mexico	\$3,269,917
Farmington, NM	\$453,881
Las Cruces, NM	\$1,274,665
Los Lunas, NM	\$477,715
Santa Fe, NM	\$1,063,656

¹ Consistent with prior years, urbanized area apportionments for Section 5307 and Section 5340 are combined to show a single amount. An area's apportionment amount includes regular Section 5307 funds, Small Transit Intensive Cities funds, and Growing States and High Density States formula funds, as appropriate.

DOT**FTA**

U.S. Department of Transportation

Federal Transit Administration

Application

Recipient ID:	2066
Recipient Name:	CITY OF SANTA FE
Project ID:	SAF OPERATING
Budget Number:	1 - Budget Pending Approval
Project Information:	Operating Assistance FY15

Part 3: Budget

Project Budget

	Quantity	FTA Amount	Tot. Elig. Cost
<u>SCOPE</u>			
300-00 OPERATING ASSISTANCE	0	\$1,062,596.00	\$2,125,192.00
<u>ACTIVITY</u>			
30.09.01 UP TO 50% FEDERAL SHARE	0	\$1,062,596.00	\$2,125,192.00
<u>SCOPE</u>			
114-00 BUS: SUPPORT EQUIP AND FACILITIES	0	\$1,060.00	\$1,325.00
<u>ACTIVITY</u>			
11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	0	\$1,060.00	\$1,325.00
Estimated Total Eligible Cost:			\$2,126,517.00
Federal Share:			\$1,063,656.00
Local Share:			\$1,062,861.00



City of Santa Fe Summary of Contracts, Agreements, & Amendments

Section to be completed by department for each contract or contract amendment

FOR: ORIGINAL CONTRACT or **CONTRACT AMENDMENT**

2 Name of Contractor U.S. Dept. of Transportation

Complete information requested Plus GRT
x Inclusive of GRT

Original Contract Amount: \$1,063,656.00

Termination Date: September 30, 2021

Approved by Council Date: _____

or by City Manager Date: _____

Contract is for: Revenue - FFY 2015 partial (8/12) apportionment to City of Santa Fe to support ongoing transit operations.

Amendment # _____ to the Original Contract# _____

Increase/(Decrease) Amount \$ _____

Extend Termination Date to: _____

Approved by Council Date: _____

or by City Manager Date: _____

Amendment is for:

History of Contract & Amendments: (option: attach spreadsheet if multiple amendments) Plus GRT
Inclusive of GRT

Amount \$ _____ of original Contract# _____ Termination Date: _____

Reason: _____

Amount \$ _____ amendment # _____ Termination Date: _____

Reason: _____

Amount \$ _____ amendment # _____ Termination Date: _____

Reason: _____

Amount \$ _____ amendment # 3 Termination Date: _____

Reason: _____

Total of Original Contract plus all amendments: \$ _____



City of Santa Fe
Summary of Contracts, Agreements, & Amendments.

5 Procurement Method of Original Contract: (complete one of the lines)

RFP# _____ Date: _____

RFQ _____ Date: _____

Sole Source _____ Date: _____

Other N/A _____

6 Procurement History: _____
example: (First year of 4 year contract)

7 Funding Source: Dept. of Transportation BU/Line Item: 51400.490550

8 Any out-of-the ordinary or unusual issues or concerns:
(Memo may be attached to explain detail.)

9 Staff Contact who completed this form: Ken Smithson, Director of Operations & Maintenance
Phone # Ext. 2223

10 Certificate of Insurance attached. (if original Contract)

Submit to City Attorney for review/signature
Forward to Finance Director for review/signature
Return to originating Department for Committee(s) review or forward to City Manager for review
and approval (depending on dollar level).

To be recorded by City Clerk:

Contract # _____

Date of contract Executed (i.e., signed by all parties): _____

Note: If further information needs to be included, attach a separate memo.

Comments:

Large empty rectangular box for comments.

**ACTION SHEET
ITEM FROM THE
PUBLIC WORKS/CIP AND LAND USE COMMITTEE MEETING
OF
MONDAY, FEBRUARY 23, 2015**

ITEM 9

REQUEST FOR APPROVAL OF APPLICATION TO FEDERAL TRANSIT ADMINISTRATION FOR PARTIAL FY2015 SECTION 5307 URBANIZED AREA APPORTIONMENT TO THE CITY OF SANTA FE IN THE AMOUNT OF \$1,063,656 (KEN SMITHSON)

PUBLIC WORKS COMMITTEE ACTION: Approved on Consent

FUNDING SOURCE: N/A

SPECIAL CONDITIONS / AMENDMENTS / STAFF FOLLOW UP:

VOTE	FOR	AGAINST	ABSTAIN
CHAIRPERSON TRUJILLO			
COUNCILOR BUSHEE	Not Present		
COUNCILOR DIMAS	Not Present		
COUNCILOR DOMINGUEZ	X		
COUNCILOR RIVERA	X		

Item 19.

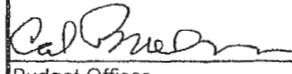
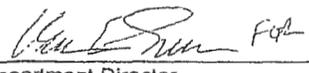
City of Santa Fe, New Mexico

BUDGET ADJUSTMENT REQUEST (BAR)

DEPARTMENT / DIVISION / SECTION / UNIT NAME				DATE		
				02/24/2015		
ITEM DESCRIPTION	BU / LINE ITEM	<-(Finance Dept Use Only)->		INCREASE	DECREASE	
		SUBLEDGER / SUBSIDIARY	DR / (CR)			
Revenue - Dept. of Transportation	51400.490550		(CR)	(1,063,656)		
JUSTIFICATION: (use additional page if needed) --Attach supporting documentation/memo				TOTAL	\$ (1,063,656)	\$ -

To load the revenue side of the budget for the FFY 2015 partial (8/12) apportionment of FTA funds to Santa Fe.

Local match will come from Muni GRT Fund 2116

Stephen Morales Prepared By	02/24/2015 Date	CITY COUNCIL APPROVAL		 Budget Officer	3/2/15 Date
 Division Director	2-24-15 Date	<input type="checkbox"/> City Council Approval Required	<input type="checkbox"/> City Council Approval	 Finance Director	3-2-2015 Date
 Department Director	2-24-15 Date	Agenda Item #:	<input type="checkbox"/>	City Manager	Date