CASE# <u>2017-5</u>
---------------------

Complaint Form
(Please print or type)
(Additional pages may be added)

1. Name of person and/or group charged with alleged violation. Swart Process New Mexico of Level (SS July son TI)  2. Please explain briefly the nature of the alleged violation. Failure to complete the configuration of the Code of Ethics or Election Code you believe have been violated. Charles the Election of the Code of Ethics or Election Code you believe have been violated. Charles the Election of the Code of Ethics or Election Code you believe have been violated. Charles the Election of the Code of Ethics or Election Code you believe have been violated. Charles the Election of the Code of Ethics or Election Code you believe have been violated. Charles the Election of the Code of Ethics or Election Code you believe have been violated. Charles the Code of Ethics or Election Code you believe have been violated. Charles the Code of Ethics or Election Code you believe have been violated. Charles the Code of Ethics or Election Code you believe have been violated. Charles the Code of Ethics or Election Code you believe have been violated. Charles the Code of Ethics or Election Code you believe have been violated. Charles the Code of Ethics or Election Code you believe have been violated. Charles the Code of Ethics or Election Code you believe have been violated. Charles the Code of Ethics or Election Code you believe have been violated. The Code of Ethics or Election Code you believe have been violated. The Code of Ethics or Election Code you believe have been violated. The Code of Ethics or Election Code you believe have been violated. The Code of Ethics or Election Code you believe have been violated. The Code of Ethics or Election Code you believe have been violated. The Code of Ethics or Election Code you believe have been violated. The Code of Ethics or Election Code you believe have been violated. The Code of Ethics or Election Code you believe have been violated. The Code of Ethics of Election Code you be at the Code of Ethics or Election Code you be at the Code of Ethics or Election Code you be at the Code	
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other facts That Crockess Now Musico Laveless Johnson TI and	_
other facts other. Mr. Johnson was getal in the	7
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New Maxian of min to working with CATERITION deleg	
5. Are there any witnesses to the violation who are willing to confirm your charge?	
Please list with addresses, phone numbers and what they know.	
Hayrel Classon, New Mexican	_
6. Attach documentation of actual evidence you have to support your complaint, to	
this form	
7. What is your name? ** ** ** ** ** ** ** ** ** ** ** ** **	
9. Telephone Number? 312 560, 1986 Work: Restined	_
10. Email Address? etali. who con Ocmal upty	
	_
Received on: 4 20 17 To the best of my knowledge, the above statements are true and complete.	•
1 Some Ca 1 An non The	
By: Y Velish Direction City Clerk's Office	
Signature	
Subscribed and sworm to before me this 7th day of April 20 17	
OFFICIAL SEAL	
MELISSA D. BYERS	
(Seal) Notary Public	

#### INDEPENDENTLY SPONSORED CAMPAIGN REPORTING

# CAMPAIGN FINANCE STATEMENT SUMMARY CUMULATIVE TOTALS TO DATE CONTRIBUTIONS, EXPENDITURES, LOANS AND IN-KIND CONTRIBUTIONS

Name of Person or Entity	Smart Progress New Mexico		•
Mailing Address	303 E. Buena Vista Street, #5 Sa	nta Fe, NM 87505	
Email Address	smartprogressnm@gmail.com	Phone No. <u>505-570</u>	<u>)-4499</u>
REPORTING PERIOD	2/21/2017	то	04/06/2017
CUMULATIVE TOTAL O	OF ONS TO DATE (OTHER THAN N	(ONEY)	\$200.00
CUMULATIVE TOTAL	S TO DATE:	CUMULATIVE TOT	ALS TO DATE:
CONTRIBUTIONS	\$11,300.00	EXPENDITURES	<u>\$9,509.52</u>
LOAN(S)	\$0.00	CASH ON HAND	\$1,790.48
INTEREST EARNED	\$0.00		

\$11,300.00

GRAND TOTAL

TO DATE

# INDEPENDENTLY SPONSORED CAMPAIGN REPORTING CAMPAIGN FINANCE STATEMENT SUMMARY CONTRIBUTIONS, EXPENDITURES, LOANS AND IN-KIND CONTRIBUTIONS (THIS REPORTING PERIOD)

Name of Person or Entity	Smart Progress New Mexico	0	
REPORTING PERIOD	2/21/2017	то	04/06/2017
	THIS REPORTING	S PERIOD	
Total Individual Contributions			\$300.00
Total Business Contributions			\$11,000.00
Total In-Kind Contributions (C	Other than Money)		\$200.00
Total Loans			\$0.00
Total Interest Earned			\$0.00
Total Expenditures			<b>\$9,509.52</b>

## INDEPENDENTLY SPONSORED CAMPAIGN REPORTING CAMPAIGN FINANCE STATEMENT / CONTRIBUTIONS BY INDIVIDUALS

(See §§9-2.3(J)(1) and 9-2.6(C) SFCC 1987)

Name of P	erson or Entity	Smart Progress New Mexico			· · · · · · · · · · · · · · · · · · ·	
Reporting	Period	2/21/2017	то	4/6/2017		
Total of Co	ntributions this	Reporting Period:		\$300.00		
Cumulative	Total of Contri	butions to Date (Including this Report):		\$300.00	•	
Date Received	Na	me, Home Address and Occupation		Cash/Check Credit Card Other	Amount	Contributor Cumulative Total
	Loveless John	son, III				
		odge Road, #5 Santa Fe, NM 87501		_		
02/21/17	Consultant	·		Cash	\$300.00	\$300.00
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<u> </u>	/ATTACLL AT	DITIONAL PAGES AS NEEDED)		Page Total	\$300.00	
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#### INDEPENDENTLY SPONSORED CAMPAIGN REPORTING CAMPAIGN FINANCE STATEMENT / CONTRIBUTIONS BY ENTITIES

(See §§9-2.3(J)(2) and 9-2.6(D) SFCC 1987)

Name of I	Person or Entity	Smart Progress New Mex	tico				
Reporting	g Period	2/21/2017	то		4/6/2017		
Total of C	ontributions this Re	eporting Period:				\$11,000.00	
Cumulativ	re Total of Contribu	tions to Date (Including this R	leport):			\$11,000.00	
Date Received	Name of F	Name of Entity Physical Address President, C.E.O. or Equivaler	nt Position	Cash/Check Credit Card Other	Amount	Contributor Cumulative Total	*Contributor Disclosure Not required
02/16/17	Boxcar	Street, Santa Fe, NM 87501		Cash	\$250.00	\$250.00	
02/22/17	Boxcar 530 S. Guadalupe Sylwia Handzel, C	Street, Santa Fe, NM 87501 EO		Cash	\$750.00	\$750.00	
02/28/17	Coca Cola Bottling 660 W. San Mate Barry Keiss, CEO	o Road, Santa Fe, NM 87505		Cash	\$5,000.00	\$5,000.00	,
03/22/17	Coca Cola Bottling 660 W. San Mate Barry Keiss, CEO	o Road, Santa Fe, NM 67505		Check	<b>\$5,00</b> 0.00	\$10,000.00	
				-			
				-			
(ATTACI	ADDITIONAL P	AGES AS NEEDED)		Page Total	\$11,000.00		

#### INDEPENDENTLY SPONSORED CAMPAIGN REPORTING CAMPAIGN FINANCE STATEMENT / LOANS

Name of Person or Entity	Smart Progress Ne	ew Mexico				
Reporting Period	2/21/20	17	то		4/6/2017	
Total of Loans this Repo	orting Period:				\$0.00	
Cumulative Total of Loa	ns to Date:				\$0.00	
Cumulative Total of Loa	n(s) Remaining Un	paid to Date:			\$0.00	
Total of Interest Earned	this Reporting Peri	iod:			\$0.00	
Cumulative Total of Inte	rest Earned to Date	<del>B</del> :			\$0.00	
Lender (Na	ame and Address )		Amount of Loan	Date of Loan	Interest Rate	Amount of Loan Yet Unpaid
	**					
	· · · · · · · · · · · · · · · · · · ·	·- ·-				
	<u> </u>	Total	\$0.00		Total	\$0.00
If any of the above loa	ins have been for	given or paid	l by a third per	rson, please ii	ndicate below:	
Report separately belo income earned (Include			mpaign funds a	and interest, o	dividends and/o	or other
Date	Amount			Description	on	
	<del>.</del>					<u> </u>
Total	\$0.00					

#### INDEPENDENTLY SPONSORED CAMPAIGN REPORTING CAMPAIGN FINANCE STATEMENT / IN-KIND CONTRIBUTIONS

ne of Person or Entity	Smart Progress New Mexico	<u> </u>		
orting Period	2/21/2017	то	4/6/2017	
al of In-Kind Contribution	ns this Reporting Period:			\$200.00
oulative Total of In-Kind	i Contributions to Date (Includ	ling this Report):		\$200.00

List any goods, materials, services, facilities or anything of value (other than money) which has been contributed and the monetary value. For in-kind contributions from Individuals, list the name, home address, occupation and name of employer. For in-kind contributions from Entities, list the name, type of entity, physical address and name of President, C.E.O. or equivalent position.

Date Received	Contributor Information	Contribution Description	Value	Contributor Total
00104147	Heidi R. Pierce 2500 Sawmill Road. #1926 Santa Fe, NM 87507	Administrative	\$200.00	\$200.00
02/21/17	Massage Therapist	Support	\$200.00	\$200.00
				-
	(ATTACH ADDITIONAL PAGES AS NEEDED)	Page Total	\$200.00	

Page 1 of \_\_\_\_

#### INDEPENDENTLY SPONSORED CAMPAIGN REPORTING **CAMPAIGN FINANCE STATEMENT / EXPENDITURES**

Name of Person or Entity	Smart Pro	gress N	ew Mexico	
Reporting Period	2/21/2017	то	4/6/2017	
Total of Expenditures this Repo	rting Period:		\$9,509.52	
Cumulative Total of Expenditure	es to Date (Including this Report):		\$9,509.52	

Date Expended	Name of Individual or Business Mailing Address	Method of Payment	Amount	**See CC	Form 100
Exheliged	Purpose of Expenditure	Fayilloil		Yes	No
	New Mexico Secretary of State				
	Santa Fe, NM				
02/22/17	Registration Fee	Check	\$50.00		
	Adelo Law Firm				
	Santa Fe, NM				
02/23/17	Legal Services	Check	\$1,100.00		
	High Desert Tees & Sports				
	Santa Fe, NM				
02/25/17	T-shirts	Check	\$390.76 \$137.0		
	Go Daddy Inc.		*		
	Phoenix, AZ				
02/26/17	Website Domain & Hosting Services	Debit Card	\$137.00		
····	CTR Media		· · · · · · · · · · · · · · · · · · ·		
	Santa Fe, NM				ŀ
03/01/17	Website & Digital Media Design Services	Check	\$1,500.00		
	High Desert Public Relations				
	Santa Fe, NM				İ
03/01/17	Issues & Policy Research	Check	\$1,000.00		
	CTR Media				
	Santa Fe, NM				ľ
03/01/17	Field Coordination Services (canvassing)	Check	\$1,000.00		
	High Desert Tees & Sports				
	Santa Fe, NM				
03/03/17	T-shirts	Check	\$411.25		
	Facebook				
	Boston, MA				
03/06/17	Advertiseing Services (post boosts)	Debit Card	\$250.00		
-:::	Tasha Curtis				
	Santa Fe, NM				
03/07/17	Voiceover Services	Check	\$108.33		
	(ATTACH ADDITIONAL PAGES AS REQUIRED)	Page Total	\$5,947.33		

Page 1 of

# INDEPENDENTLY SPONSORED CAMPAIGN REPORTING CAMPAIGN FINANCE STATEMENT / EXPENDITURES

(Continued)

Date Expended	Name of Individual or Business Mailing Address	Method of Payment	Amount		Form 100
CAPONICOG	Purpose of Expenditure	aymont		Yes	No
	Fast Signs of Santa Fe			-	
	Santa Fe, NM				
03/07/17	Signs	Check	\$480.00		
	Staples of Santa Fe				
	Santa Fe, NM				
03/08/17	Printing and Office Supplies	Check	\$336.23		
	Hutton Broadcasting				
	Santa Fe, NM				
03/29/17	Radio Advertisements	Check	\$1,083.25		
	Mindzai Printing				
	Atlanta, GA				
	Beverage Coaster Printing	Debit Card	\$1,882.71		
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(ATTACH ADDITIONAL PAGES AS REQUIRED)

Page Total \$3,562.19

#### INDEPENDENTLY SPONSORED CAMPAIGN REPORTING **CAMPAIGN FINANCE STATEMENT CERTIFICATION**

This Campaign Statement has been prepared with all reasonable diligence and is true and complete in accordance with §9-2 SFCC 1987.
President, CEO, or Equivalent Position Signature  Date  Date
Treasurer's/Deputy Treasurer's Signature Hail Hillia Date 4-10-17  Helia Fillia 4-11-17
Treasurer's/Deputy Treasurer's Address HeidiPierce
303 E. Burno Vistast #5 SANTAF 87505
Subscribed to and sworn before me this 10th day of April, 2017 by Heidi R. Pierce:  Yacanda y. L. g.  Notary Public
younde y. Dig
My Commission Expires:
7-24-18
Received in the Office of the City Clerk at 4:58 (AM/PM) on the 10 <sup>th</sup> day of April , 2017.
(SEAL)  Golanda Y. Vigil, CMC, City Clerk
* A candidate or political committee that receives contributions from another entity that does not have to disclose its contributors to the City Clerk, shall indicate as such on the campaign finance statement submitted to the

- City Clerk pursuant to existing reporting requirements. (§9-2.12(B) SFCC 1987) (Form CC096A & CC096B)
- \*\* Pursuant to §9-2.6(A) the President, Chief Executive Officer or equivalent position shall certify on the filing that its expenditures were or were not made in cooperation, consultation or concert with, or at the request or suggestion of, a candidate, his/her representatives or agents or the candidate's political committee. This will be indicated on the Expenditure Form by checking either the 'Yes' or 'No' fields in Form 99A and 99B (Not applicable in ballot proposition election).
- The term "contribution" does not include: a volunteer's personal services provided without compensation or the travel or personal expenses of such a campaign worker. (§9-2.3(I)(2) SFCC 1987)
- The City Clerk is authorized to reject any incomplete campaign finance statements. (§9-2.10(E) SFCC 1987)
- The City Clerk shall assess a fine of one hundred dollars (\$100) for unexcused late filing of campaign finance statements. (§9-2.10(G) SFCC 1987)



#### City of Santa Fe, New Mexico

200 Lincoln Avenue, P.O. Box 909, Santa Fe, N.M. 87504-0909 www.santafenm.gov

Javier M. Gonzales, Mayor

Councilors:

Signe I. Lindell, Mayor Pro Tem, Dist. 1

Renee Villarreal, Dist. 1

Peter N. Ives, Dist. 2

Joseph M. Maestas, Dist. 2

Carmichael A. Dominguez, Dist. 3 Christopher M. Rivera, Dist. 3

Ronald S. Trujillo, Dist. 4

Mike Harris, Dist. 4

April 5, 2017

Loveless Johnson Heidi Pierce Smart Progress New Mexico 303 E. Buena Vista Avenue #5 Santa Fe, NM 87505

by letter and email smartprogressnm@gmail.com

Re: City Election Code Section 9-2

To Whom It May Concern:

In 2015, the City Council adopted changes to the City Campaign Code, Section 9-2.6 ("Independently Sponsored Campaign Communications and Reporting"). I have enclosed a copy for your review. Based on information in the newspaper, it appears your organization has spent more than \$250, on broadcast advertisements referring to a ballot proposition, which have reached more than 100 eligible voters. If so, your organization must file a campaign finance statement. The next reporting date is Friday, April 7, 2017. Please contact the City Clerk's office immediately to obtain the CD disk needed to file the campaign finance statement. If you disagree, please contact the City Clerk's office immediately in writing to explain why you believe your organization is exempt from Section 9-2.6.

Sincerely,

Zachary Shandler

Assistant City Attorney

Enclosure

Cc: Yolanda Vigil, City Clerk